



UP-2615 #4

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/753,131
	Filing Date	December 28, 2000
	First Named Inventor	Wang et al.
	Group Art Unit	2615
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	21046.P001

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MAY 16 2001

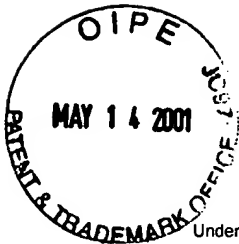
Technology Center 2600

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Certified Copy of Priority Documents(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Aloysius T.C. AuYeung, Reg. No. 35,432 COLUMBIA IP LAW GROUP, PC
Signature	
Date	May 10, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5/10/01	
Typed or printed name	Michelle J. Turner
Signature	
Date	5/10/01

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PTO/SB/17 (11-00)  
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

### Complete if Known

Application Number	09/753,131
Filing Date	December 28, 2000
First Named Inventor	Wang et al.
Examiner Name	Not yet assigned
Group Art Unit	2615
Attorney Docket No.	21046.P001

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### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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501569

Columbia IP Law Group, PC

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

#### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20**=	X	=
Independent Claims	-3**=	X	=
Multiple Dependent			=

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in Excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Aloysius T.C. AuYeung

Registration No.  
(Attorney/Agent)

35,432

Telephone

503-534-2800

Signature

Date

5/10/01

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**REGISTRY OF PATENTS  
SINGAPORE**

This is to certify that the annexed is a true copy of the following Singapore patent application as filed in this Registry.

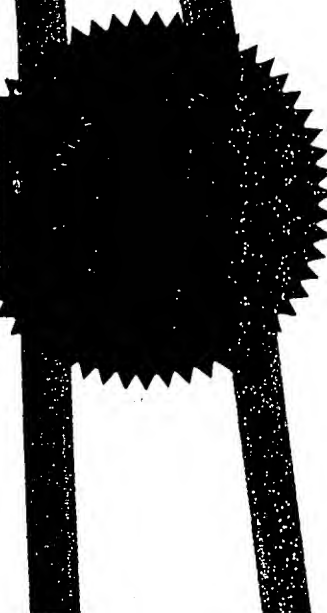
Date of Filing : 06 JANUARY 2000

Application Number : 200000008-3

Applicant(s) : SERIAL SYSTEM LTD

Title of Invention : A METHOD AND APPARATUS FOR  
CAPTURING AND RECORDING AUDIO  
AND VIDEO DATA ON OPTICAL STORAGE  
MEDIA

**CERTIFIED COPY OF  
PRIORITY DOCUMENT**

  
*Chig*  
CHIG KAM TACK (Mr)  
Assistant Registrar  
for REGISTRAR OF PATENTS  
SINGAPORE  
12 FEBRUARY 2001

PATENTS FORM 1

SECOND SCHEDULE  
SINGAPORE  
THE PATENTS ACT  
(CHAPTER 221)

Rule 19

The Registrar of Patents  
Registry of Patents

THE PATENTS RULES

**REQUEST FOR THE GRANT OF A PATENT**

THE GRANT OF A PATENT IS REQUESTED BY THE UNDERSIGNED ON THE BASIS OF  
THE PRESENT APPLICATION.

<b>I. Title of Invention</b>	<b>A METHOD AND APPARATUS FOR CAPTURING AND RECORDING AUDIO AND VIDEO DATA ON OPTICAL STORAGE MEDIA</b>			
<b>II. Applicant(s)</b> (See note 2)	<b>(a) Name</b>	SERIAL SYSTEM LTD		
	<b>Body Description/ Residency</b>	PUBLIC LISTED CORPORATION SINGAPORE		
	<b>Street Name &amp; Number</b>	11 JALAN MESIN #06-00, STANDARD INDUSTRIAL BUILDING		
	<b>City</b>	SINGAPORE 368813		
	<b>State</b>	SINGAPORE		
	<b>Country</b>	SINGAPORE		
	<b>(b) Name</b>			
	<b>Body Description/ Residency</b>			
	<b>Street Name &amp; Number</b>			
	<b>City</b>			
	<b>State</b>			
	<b>Country</b>			
	<b>(c) Name</b>			
	<b>Body Description/ Residency</b>			
	<b>Street Name &amp; Number</b>			
	<b>City</b>			
	<b>State</b>			
	<b>Country</b>			
<b>III. Declaration of priority</b>  (see note 3)	<b>Country/Country Designated</b>		<b>File no.</b>	
	<b>Filing Date</b>			
	<b>Country/Country Designated</b>		<b>File no.</b>	
	<b>Filing Date</b>			
	<b>Country/Country Designated</b>		<b>File no.</b>	
	<b>Filing Date</b>			

## SECOND SCHEDULE - continued

<b>IV. Inventors</b> (See note 4)  (a) <i>The applicant(s) is/are the sole/joint inventor(s).</i>  (b) <i>A statement on Patents Form 8 is/will be furnished</i>	<div style="display: flex; justify-content: space-around;"> <div> <input type="checkbox"/> Yes  <input checked="" type="checkbox"/> No         </div> <div> <input checked="" type="checkbox"/> Yes  <input type="checkbox"/> No         </div> </div>													
<b>V. Name of Agent (if any)</b> (See note 5)	<b>Lawrence Y.D. Ho</b> <b>of LAWRENCE Y D HO &amp; ASSOCIATES</b>													
<b>VI. Address for Service</b> (See note 6)	<i>Block/Hse No.</i>  <b>30</b>		<i>Level No.</i>  <b>7</b>											
	<i>Unit No/PO Box</i>  <b>#07-01</b>		<i>Postal Code</i>  <b>229922</b>											
	<i>Street Name</i>  <b>Bideford Road</b>													
	<i>Building Name</i>  <b>Thongsia Building</b>													
<b>VII. Claiming an earlier filing date under section 20(3), 26(6) or 47(4).</b> (See note 7)	<table border="1" style="width: 100%;"> <tr> <td data-bbox="690 1255 928 1381"> <i>Application No</i> </td> <td colspan="4" data-bbox="928 1255 1414 1381"></td> </tr> <tr> <td data-bbox="690 1381 928 1476"> <i>Filing Date</i> </td> <td data-bbox="928 1381 1002 1476"></td> <td data-bbox="1002 1381 1076 1476"></td> <td data-bbox="1076 1381 1230 1476"></td> <td data-bbox="1230 1381 1414 1476"></td> </tr> </table> <p>[Please tick in the relevant space provided]:</p> <p>( ) Proceeding under rule 27(1)(a).</p> <p>Date on which the earlier application was amended = _____</p> <p>Or</p> <p>( ) Proceeding under rule 27(1)(b)</p>				<i>Application No</i>					<i>Filing Date</i>				
<i>Application No</i>														
<i>Filing Date</i>														

## THIRD SCHEDULE - continued

<p><i>VIII. Invention has been displayed at an International Exhibition (See note 8)</i></p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No																											
<p><i>LX. Section 114 requirements (See note 9)</i></p>	<p><i>The invention relates to and/or used a micro-organism deposited for the purposes of disclosure in accordance with section 114 with a depositary authority under the Budapest Treaty.</i></p> <p style="text-align: center;"> <input type="checkbox"/> Yes      <input checked="" type="checkbox"/> No         </p>																											
<p><b>X. Check List</b> (To be filled in by applicant or agent)</p>	<p><b>A. The application contains the following number of sheet(s):-</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">1. Request</td> <td style="width: 15%; text-align: center;">4</td> <td style="width: 25%;">sheets</td> </tr> <tr> <td>2. Description</td> <td style="text-align: center;">11</td> <td>sheets</td> </tr> <tr> <td>3. Claim(s).</td> <td style="text-align: center;">3</td> <td>sheets</td> </tr> <tr> <td>4. Drawing(s).</td> <td style="text-align: center;">6</td> <td>sheets</td> </tr> <tr> <td>5. Abstract.</td> <td style="text-align: center;">1</td> <td>sheets</td> </tr> </table> <p><b>B. The application as filed is accompanied by:-</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">1. Priority document</td> <td style="width: 10%;"></td> <td style="width: 20%;"></td> </tr> <tr> <td>2. Translation of priority document</td> <td></td> <td></td> </tr> <tr> <td>3. Statement of Inventorship &amp; right to grant</td> <td></td> <td></td> </tr> <tr> <td>4. International Exhibition Certificate</td> <td></td> <td></td> </tr> </table>	1. Request	4	sheets	2. Description	11	sheets	3. Claim(s).	3	sheets	4. Drawing(s).	6	sheets	5. Abstract.	1	sheets	1. Priority document			2. Translation of priority document			3. Statement of Inventorship & right to grant			4. International Exhibition Certificate		
1. Request	4	sheets																										
2. Description	11	sheets																										
3. Claim(s).	3	sheets																										
4. Drawing(s).	6	sheets																										
5. Abstract.	1	sheets																										
1. Priority document																												
2. Translation of priority document																												
3. Statement of Inventorship & right to grant																												
4. International Exhibition Certificate																												
<p><b>X. Signature(s)</b> (See note 10)</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Applicant (a)</td> <td colspan="2" style="height: 40px; vertical-align: bottom; text-align: center;"> <i>Lawrence Ho</i> </td> </tr> <tr> <td>Date</td> <td style="width: 40%; text-align: center;">6 January 2000</td> <td style="width: 40%;"></td> </tr> <tr> <td>Applicant (b)</td> <td colspan="2" style="height: 40px;"></td> </tr> <tr> <td>Date</td> <td></td> <td></td> </tr> <tr> <td>Applicant (c)</td> <td colspan="2" style="height: 40px;"></td> </tr> <tr> <td>Date</td> <td></td> <td></td> </tr> </table>	Applicant (a)	<i>Lawrence Ho</i>		Date	6 January 2000		Applicant (b)			Date			Applicant (c)			Date											
Applicant (a)	<i>Lawrence Ho</i>																											
Date	6 January 2000																											
Applicant (b)																												
Date																												
Applicant (c)																												
Date																												

SECOND SCHEDULE - continued**NOTES:**

1. This form when completed, should be brought or sent to the Registry of Patents together with the prescribed fee and 3 copies of the description of the invention, and of any drawings.
2. Enter the name and address of each applicant in the spaces provided at paragraph II. Names of individuals should be indicated in full and the surname or family name should be underlined. The names of all partners in a firm must be given in full. The place of residence of each individual should also be furnished in the space provided. Bodies corporate should be designated by their corporate name and country of incorporation and, where appropriate, the state of incorporation within that country should be entered where provided. Where more than three applicants are to be named, the names and address of the fourth and any further applicants should be given on a separate sheet attached to this Form together with the signature of each of these further applicants.
3. The declaration of priority at paragraph III should state the date of the previous filing, the country in which it was made, and indicate the file number, if available. Where the application relied upon in an International Application or a regional patent application e.g. European patent application, one of the countries designated in that application [being one falling under the Patents (Convention Countries) Order] should be identified and the name of that country should be entered in the space provided.
4. Where the applicant or applicants is/are the sole inventor or the joint inventors, paragraph IV should be completed by marking the 'YES' Box in the declaration (a) and the 'NO' Box in the alternative statement (b). Where this is not the case, the 'NO' Box in declaration (a) should be marked and a statement will be required to be filed on Patents Form 8.
5. If the applicant has appointed an agent to act on his behalf, the agent's name should be indicated in the spaces available at paragraph V.
6. An address for service in Singapore to which all documents may be sent must be stated at paragraph VI. It is recommended that a telephone number be provided if an agent is not appointed.
7. When an application is made by virtue of section 20(3), 26(6) or 47(4), the appropriate section should be identified at paragraph VII and the number of the earlier application or any patent granted thereon identified.
8. Where the applicant wishes an earlier disclosure of the invention by him at an International Exhibition to be disregarded in accordance with section 14(4)(c), then the 'YES' box at paragraph VIII should be marked. Otherwise the 'NO' box should be marked.
9. Where in disclosing the invention the application refers to one or more micro-organisms deposited with a depository authority under the Budapest Treaty, then the 'YES' box at paragraph IX should be marked. Otherwise the 'NO' box should be marked.
10. Attention is drawn to rules 90 and 105 of the Patent Rules. Where there are more than three applicants, see also Note 2 above.
11. Applicants resident in Singapore are reminded that if the Registry of Patents considers that an application contains information the publication of which might be prejudicial to the defence of Singapore or the safety of the public, it may prohibit or restrict its publication or communication. Any person resident in Singapore and wishing to apply for patent protection in other countries must first obtain permission from the Singapore Registry of Patents unless they have already applied for a patent for the same invention in Singapore. In the latter case, no application should be made overseas until at least two months after the application has been filed in Singapore.

**For Official Use**

Application Filing Date:        /        /

Request received on        :        /        /

Fee received on        :        /        /

Amount        :

\*Cash/Cheque/Money Order No:

*\*Delete whichever is inapplicable*

**A METHOD AND APPARATUS FOR CAPTURING AND RECORDING  
AUDIO AND VIDEO DATA ON OPTICAL STORAGE MEDIA**

**FIELD OF THE INVENTION**

5 The present invention relates to a digital audio and video recorder. In particular, the present invention pertains to a consumer, digital audio and video recorder that captures audio and video data, replays and records on Compact Disc CD Read or Read Write storage media all in real time.

10 **BACKGROUND OF THE INVENTION**

Although analog audio and video recorders or video cassette recorders adorn the living rooms of virtually every household, the consumer digital audio and video recorder is not available commercially.

15 Dazzle Multimedia, Inc. pioneered an external module known as Dazzle that allowed users to feed live composite video stream and analog audio data through it and via a parallel port of a personal computer. See, for example, U.S. Design Patent No. 411523. A real-time video encoder in the module encoded video data while audio signals were encoded with the host processor.

20 With Dazzle and its successors, camcorder users could record and transfer audio and video information to a personal computer as MPEG1 files that could be posted on the web or recorded in a CD-R or Zip Drive format.

Dazzle has not gained wide spread consumer acceptance because the video

25 quality was poor compared to those offered by VHS video cassette tapes and Laser Disc. Furthermore, the replay of audio data was not satisfactory because Dazzle did not have CD-DA recorder functionality. Above all, the



audio and video data captured with Dazzle have to be reformatted using special authoring tools and recording on storage media required several pass processes.

5 Next to introduce a product that had digital audio/video functionality was an add-on card for personal computer such as one by Optibase Inc. The Optibase VCD encoding system achieved MPEG1 audio and video encoding in real time. Such products were usually used in VCD mastering applications and costing between US\$ 2,000 to 20,000 depending on configuration. MPEG  
10 audio and video encoding was accomplished by hardware (MPEG video only encoder plus audio DSP) with system multiplex done by host processor. Once again, the encoded compressed data were stored on hard disk and transferred eventually onto CD-RW after multiple passes of authoring and formatting.

15

Besides sharing most of the disadvantages of Dazzle, the add-on card digital audio/video recorders were costly and meant for professional use.

More recently, Philips Semiconductors announced that it was bundling its  
20 Trimedia video and audio processor TM1300 with Stream Machine Co.'s single chip MPEG-2 video codec SM2210 as an overall solution for digital audio/video recording applications. It is uncertain as to what extent can consumer products be produced economically using such processor and chip as the buffering overhead remains non-trivial.

25

The barriers in gaining wide spread acceptance among consumers for a digital audio/video recorder capable of encoding and replying audio and video signals

simultaneously and recording on the fly on CD-R and CD-RW storage media are both economical and technical. On the one hand, the digital audio/video recorder must be comparable in price and quality as the analog audio/video recorder before consumers are prepared to forego the latter. On the other  
5 hand, the MPEG encoders and decoders available on the market require too much auxiliary memory and processing power the additional of which would put a digital audio/video recorder beyond the reach of the average consumers.

#### OBJECT OF THE INVENTION

10 It is an object of the present invention to define a system of data and instruction interface for audio/video recording that minimizes the memory and host processor overhead of a digital audio/video recorder.

It is yet another object of the present invention to configure an operation  
15 system and embedded control elements so as to reconcile the separate demands of real time MPEG encoding and decoding as well as the CD-RW writing requirements.

It is an additional object of the present invention to actualize a real time MPEG  
20 video and audio encoding and decoding while writing on the fly to CD-RW storage media effectively and economically.

#### SUMMARY OF THE INVENTION

The present invention is a digital audio and video recorder that captures audio  
25 and video data in real time, replays and records on Compact Disc CD Read or Read Write optical storage media on the fly. The system architecture of isolating high frequency video components minimizes noise and outputs

MPEG compliant signals. By interfacing the present invention with an audio drive that accepts streaming data, the present invention not only captures and replays video in real time without a host processor, but also records audio and video streams on optical storage media without the need for buffer management overhead. As such, a consumer digital audio and video recorder is realized.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a prior art system architecture for implementing a digital audio and video recorder using a host processor.

Fig. 1B is a detailed block diagram of a MPEG audio video module that would be used in a prior art digital audio and video recorder as outlined in Fig. 1A.

Fig. 2 is a block diagram of a more recent prior art MPEG audio and video processor that may be used to implement a consumer digital audio and video recorder.

Fig. 3 is a block diagram of the present invention featuring an audio drive that accepts stream video signals and interfacing with a controller for not only replaying audio/video data in real time but also record audio/video data optical storage media simultaneously.

Fig. 4 is a detailed block diagram of the controller of the digital audio and video recorder as illustrated in Fig. 3.

Fig. 5A is a timing diagram of the controller in Fig. 4 when it initiates the recording mode of the optical drive.

Fig. 5B is a timing diagram of the controller in Fig. 5 when it initiates the  
5 termination of a recording session of the optical drive in Fig. 3.

### DETAILED DESCRIPTION OF THE INVENTION

Fig. 1A is a prior art system architecture for implementing a digital audio and video recorder 5 using a host processor 15. The recorder 5 comprises at least  
10 a MPEG audio and video codec module 10, a data engine such as an off-the-shelf CD-R/W drive 20 featuring ATAPI or IDE interfaces 25 and 30, an user interface 35, buffers 40 and 45, and finally memory 50. Except for the MPEG audio and video codec module, all the other key components are standardized and widely available. For instance, the host processor 15 is likely to be a 16-  
15 bit processor.

On the other hand, the MPEG audio and video module is likely to be a stand alone and complex block such as the one illustrated in Fig. 1B. The key components of a MPEG audio and video module 10 comprise at least one  
20 MPEG video encoder 12, at least one MPEG audio encoder 14, and at least one controller 16. Currently the codec module 10 is made from a myriad of components that were not designed to work together. For instance, the MPEG video encoder 12 is likely to be an encoder CLM 4111 from C-Cube, and the audio encoder 14 an ADSP2181 from Analog Devices. The controller 16 will  
25 most likely be a complicated proprietary application specific integrated circuit (ASIC) that has to perform interfacing between devices with different host requirements.

The challenge of integrating a digital audio and video recorder for the consumer market such as the one shown in Fig. 1A is the multiplexing of independent audio and video stream into full MPEG compliant system stream, such that during playback, audio and synchronization is adhered. Due to the independent clocking and processor latency of the video and audio processors such as the one illustrated in Fig. 1B, it is fundamentally impossible to synchronize the two elementary streams given the current platform.

Fig. 2 is a block diagram of a more recent MPEG audio and video processor 55 that may be used to implement a digital audio and video recorder. Processor 55 is a system description of the Trimedia processor that Philips Semiconductors is bundling with Stream Machine Co.'s single chip MPEG-2 video codec SM2210 as an overall solution for digital audio/video recording applications. It is uncertain as to what extent can consumer products be produced economically using such processor and chip as the buffering overhead remains non-trivial.

Fig. 3 is a block diagram of the digital audio and video recorder 60 featuring an audio engine drive 80 that accepts streaming audio and video signals and interfaces with a controller 75 for not only replaying audio and video data in real time but also records audio and video data on optical storage media simultaneously. The present invention 60 comprises an integrated video board 65, an audio A/D converter 70, the controller 75, the drive 80 and an user interface 85. The video board 65 captures, digitizes and compresses video data it receives as input via lines 61. Similarly, the audio A/D converter 70 performs the same function for the audio data that its receives via lines 63. It should be understood by one skilled in the art that circuitry for power source

and also replay function are required for the digital audio and video recorder 60 to work properly. They are not shown explicitly in order not to obscure the novel features of the present invention. Similarly, well known components for optical drive, analog to digital converter, and user interface are not illustrated so  
5 as not to detract from the presentation of digital recorder below.

Referring again to Fig. 3, the video board 65 comprises a video decoder 62, a video encoder 64, a digital signal processor (DSP) 66 and a glue logic 68. All the key modules of the video board 65 are high frequency components. The  
10 present invention groups and isolates these high frequency components on a single board for two principal reasons: (1) it minimizes noise and enables the output to be MPEG compliant; and (2) it allows further integration of the signal path. The architecture of the video board constitutes one of the novel features of the present invention. The video decoder 62 takes as input video signals  
15 from line 61. Typical video inputs comprises analog signals from either a camcorder, VCR, television broadcast and other video sources. As and when the video signals are supplied to the line 61, the decoder 62 captures and digitizes them before outputting them to the video encoder 64 via path 67. The video encoder 64 compresses the digitized video signals before  
20 transferring them to the DSP 66. Interposed between the video encoder 64 and the DSP 66 is the glue logic 68 that synchronizes the compressed video signals with the compressed audio signals from the audio A/D converter 70 over paths 71 and 73 respectively.

25 In the preferred embodiment of the present invention, the video decoder 62 is a SAA7113 chip from Philips. Similarly, the video encoder 64 comprises a

Z1011 chip from Zapex Research. The DSP 66 is a VC5402 from Texas Instruments. Finally, the glue logic 68 comprises a EPM7064 chip from Altera.

Again in Fig. 3, the audio signal path of the recorder 60 is spearheaded by the  
5 audio A/D converter 70. Converter 70 captures audio signals via the line 63  
and digitizes them before providing them as input to the DSP 66 to be  
encoded and synchronized with the compressed video signals. At the same  
time, audio signals are also provided as input to the controller 75 for direct  
replay. The description of the controller 70 and its interaction with the DSP 66  
10 and the CD-RW drive 80 will be elaborated below.

Fig. 4 is a detailed block diagram of the controller 75 of the digital audio and  
video recorder 60 of the present invention. The controller 75 comprises a  
analog-to-digital converter (ADC) 72, a digital-to-analog converter (DAC) 74, a  
15 micro controller unit (MCU) 76 and a multiplexer (MUX) 78. The ADC 72  
receives as input audio signals via lines 63 just as the Audio ADC 70; it  
digitizes them and transfer them to the MUX 78 and outputs to replay via the  
DAC 74 should it receives the command from the user interface 85 to do so.  
The MUX 78 is also coupled to the CD-RW drive 80 for transferring  
20 compressed and synchronized audio and video data thereto upon commands  
from the DSP and MCU respectively. The MCU 76 is a controller from Hitachi  
for coordinating the recording function of the recorder 60. The MCU 76 is  
coupled to the DSP 66 via lines 77 and 79 on one hand and the CD-RW drive  
80 via line 81 on the other hand. The ability of the controller to coordinate the  
25 recording of compressed A/V streaming data with the CD-RW drive without  
external memory is also another novel feature of the present invention.

In Fig. 4, the CD-RW drive 80 represents generically a class of audio engines that are meant to record audio signals only. It differs from the data engines such as the CD-RW drive 20 in Fig. 1A by the omission of the ATAPI or IDE interface and also logic for synchronizing data recording. In general, data  
5 engines receives data input in burst mode and are not suitable to record live feed video and audio signals that transmit naturally in streaming data mode. MPEG requires compressed audio and video signals to be written at a data rate of 1.5 Mbps. Although audio engine records data in streaming mode, its tolerance for detecting the critical headers of MPEG tracks is undesirable. In  
10 other words, the inability of audio engines to detect the link block and pregap of MPEG track may cause non-reversible loss of data.

In the preferred embodiment of the present invention, the CD-RW drive 80 incorporates an optical drive unit CDL4009 and an audio engine chipset known  
15 as CDU3800 both from Philips. Instead of the standard PC CD block encoder and decoder plus the ATAPI interface, CD-RW drive has a serial command interface called DSA-R for facilitating direct data exchange between the CD engine and DSP. It should be understood by one skilled in the art that any audio engine having similar characteristics as the Philips drive may be used to  
20 implement the present invention.

To meet the constraints of a digital audio and video recorder for the consumer market, the recorder has to achieve real time replay and recording on optical storage media with minimum amount of buffering and yet meet the cost per unit  
25 ceiling. With reference to the present invention as shown in Fig. 3, the MCU 76 of the controller 75 must coordinate the process of transferring streaming data from the DSP 66 with the writing process of the CD-RW drive 80.



Without a common clock and any memory, the present invention must coordinate the interactions between the DSP and the CR-RW drive while ensuring the transmission of streaming data between the two is synchronized in order to prevent data loss or include noise.

5

The present invention overcomes the cap on buffering and meets the MPEG synchronization requirement by turning the MCU 76 into a master and the CD-RW drive 80 a slave. Figs 5A and 5B are timing diagrams of MCU 76 during the start and finish of the recording of streaming data by the CD-RW drive 80.

10 First, the MCU 76 sends commands over path 83 to CD-RW drive 80 to prepare it for recording. This is depicted in steps 91 and 93 of Fig. 5A. Note CD-RW drive 80 has a new port SYN 92 that is coupled to the MCU 76 over path 83 for sending it a acknowledgement signal. At this juncture, the MCU 76 also configures the DSP 66 to perform audio and video encoding in step 97 of

15 Fig. 5A. Here, the DSP configures the link and pregap length, the number of front margin and rear margin according to MPEG requirements. It is important to note that these configuration are all variables instead of the standard fixed length in data engine transmission. When both the CD-RW drive 80 and the DSP 66 are ready as shown in step 99 of Fig. 5A, the MCU 76 sends a Start

20 command to the CD-RW drive 80 and monitors the SYN port of the CD-RW drive 80. When the SYN 92 goes high as shown in step 100 in Fig. 5A, the CD-RW drive 80 records T milliseconds before actually recording streaming data from the DSP 66. At the same time, the MCU 76 sends a Release command T-t milliseconds later to the DSP 66 to transfer output via path 85.

25 Once the recording is started, the streaming data is synchronized between the CD-RW drive 80 and the DSP 66.

To terminate recording of streaming data between the CD-RW drive 80 and the DSP 66, the MCU 76 sends a Stop command to the DSP 66 via the path 79. Upon receiving the Stop command from the MCU 76, the DSP 66 flushes its data. The DSP 66 also starts to write a rear margin as shown in step 105 in Fig. 5B. Thereafter, the DSP 66 sends a signal to the MCU 76. The MCU 76 sends a termination signal to the CD-RW drive 80 as illustrated in step 107 of Fig. 5B. The CD-RW drive 80 stops recording after X milliseconds later as shown in step 109.

10 With the synchronization scheme outlined in Figs. 5A and 5B, a low cost digital audio and video recorder can replay and record MPEG compliant audio and video data on optical storage media in real time without any buffering overhead. Similarly the adoption of variable length for link and pregap for MPEG track permits the present invention to accommodate the wide tolerance  
15 of audio engine, hence ensuring integrity and fidelity of recorded data. Therefore, the present invention presents a real alternative to the stand alone analog video cassette recorders.

The present invention may be embodied in other specific forms without  
20 departing from the spirit or essential characteristics thereof. The presently disclosed embodiments are, therefore, to be considered in all respects as illustrative and not restrictive, the scope of the invention being indicated by the appended claims and all changes which come within the meaning and range of equivalency of the claims are, therefore, to be embraced therein. For instance,  
25 the CD-RW drive may become the master and the DSP the slave in an alternative configuration.

**CLAIMS**

What is claimed:

- 1 1. A system for capturing and recording audio and video signals in real time,  
2 said system comprising:  
3  
4 at least one video decoding means for receiving video signals;  
5  
6 at least one video encoding means coupled to said video decoding  
7 means for receiving therefrom said video signals, said video signals  
8 being encoded into a predetermined format;  
9  
10 at least one audio converting means for receiving audio signals;  
11  
12 at least one signal processing means coupled to said video encoding  
13 means and said audio converting means for receiving therefrom  
14 formatted video signals and audio signals;  
15  
16 at least one controller means coupled to said signal processing means  
17 for receiving therefrom composite audio and video signals, said  
18 controller means further receiving audio and video signals;  
19  
20 at least one optical recording means coupled to said signal processing  
21 means and said controller means for receiving and recording said  
22 composite audio and video signals on optical storage media, said  
23 optical recording means communicating with said signal processing  
24 means over said controller means,  
25  
26 whereby said system records audio and video signals in real time and  
27 without a host processor and memory overhead.  
28
- 1 2. A method for capturing and recording audio and video signals in real time,  
2 said method comprising the steps of:  
3  
4 capturing video signals with at least one video decoding means;  
5

6 formatting said video signals with at least one video encoding means  
7 coupled to said video decoding means, said video signals being  
8 encoded into a predetermined format;

9  
10 capturing audio signals with at least one audio converting means;

11  
12 receiving formatted video signals and audio signals from at least one  
13 signal processing means coupled to said video encoding means and  
14 said audio converting means;

15  
16 receiving composite audio and video signals from at least one controller  
17 means, said controller means further receiving audio and video signals;

18  
19 receiving and recording said composite audio and video signals on  
20 optical storage media with at least one optical recording means coupled  
21 to said signal processing means and said controller means, said optical  
22 recording means communicating with said signal processing means over  
23 said processing means,

24  
25 whereby said method records audio and video signals in real time and  
26 without a host processor and memory overhead.

27

1 3. A method for coordinating the transmission and recording of compressed  
2 audio and video data on optical storage media with an audio optical  
3 recording means in a system for capturing and recording of audio and video  
4 data in real time, said system comprising at least one video encoding  
5 means, an audio encoding means, and a controller means, said method  
6 comprising the steps of:

7

8 preparing said audio optical recording means for recording by  
9 requesting with said controller means for a synchronization signal  
10 therefrom;

11

12 configuring said audio encoding means and said video encoding means  
13 with said controller means by inserting variable link and pregap length,  
14 front and back margins in formatting MPEG tracks;

15 monitoring said audio optical recording means with said controller means  
16 for the return of said synchronization signal before activating the  
17 recording function of said audio optical recording means after a first  
18 predetermined delay;  
19  
20 releasing said audio encoding means and said video encoding means to  
21 transfer compressed audio and video data to said audio optical  
22 recording means after a second predetermined delay,  
23  
24 whereby said method actualizes the real time recording of compressed  
25 audio and video data on optical storage media with an audio optical  
26 recording means without memory overhead and synchronization of data  
27 transfer being controlled intelligently by the adjustment of variable link  
28 and pregap length in MPEG tracks.  
29

## ABSTRACT

A METHOD AND APPARATUS FOR CAPTURING AND RECORDING  
AUDIO AND VIDEO DATA ON OPTICAL STORAGE MEDIA

The present invention is a digital audio and video recorder that captures audio and video data in real time, replays and records on Compact Disc CD Read or Read Write optical storage media on the fly. The system architecture of isolating high frequency video components minimizes noise and outputs MPEG compliant signals. By interfacing the present invention with an audio drive that accepts streaming data, the present invention not only captures and replays video in real time without a host processor, but also records audio and video streams on optical storage media without the need for buffer management overhead. As such, a consumer digital audio and video recorder is realized.

Figure 3.

5

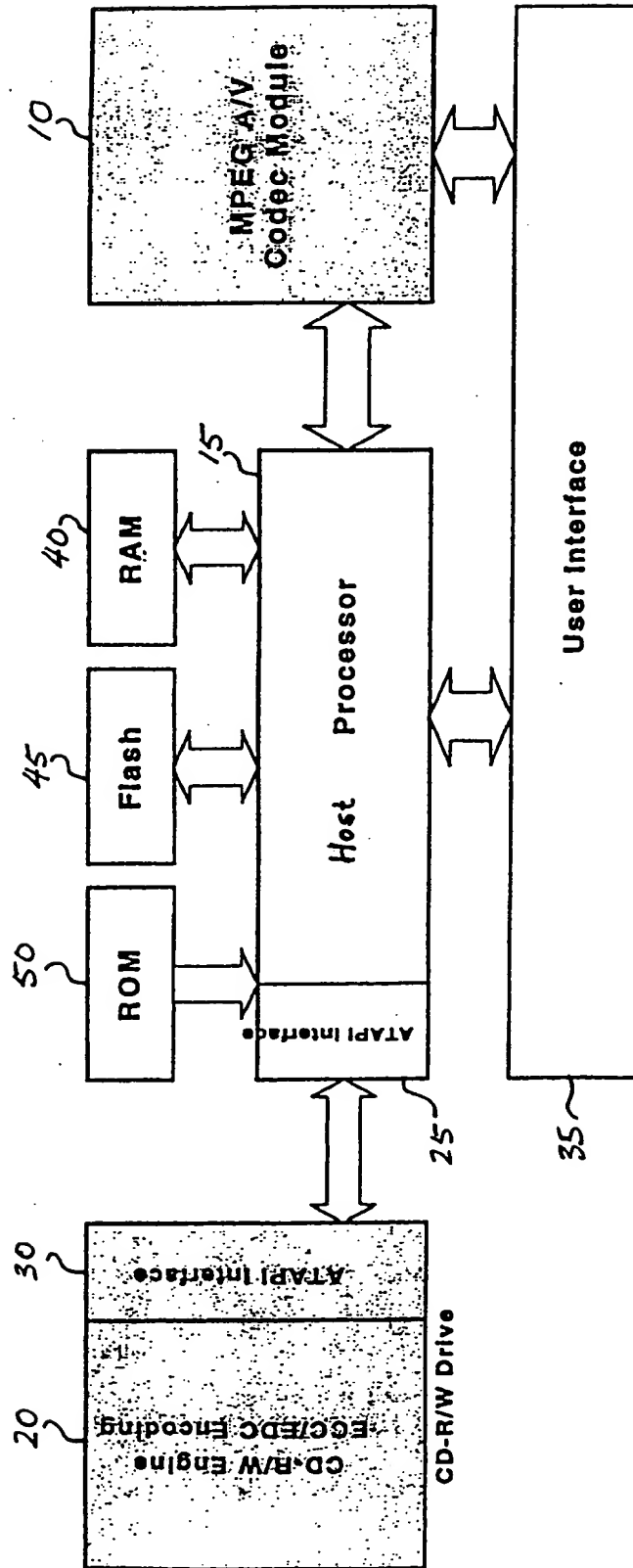


FIG 1A (PRIOR ART)

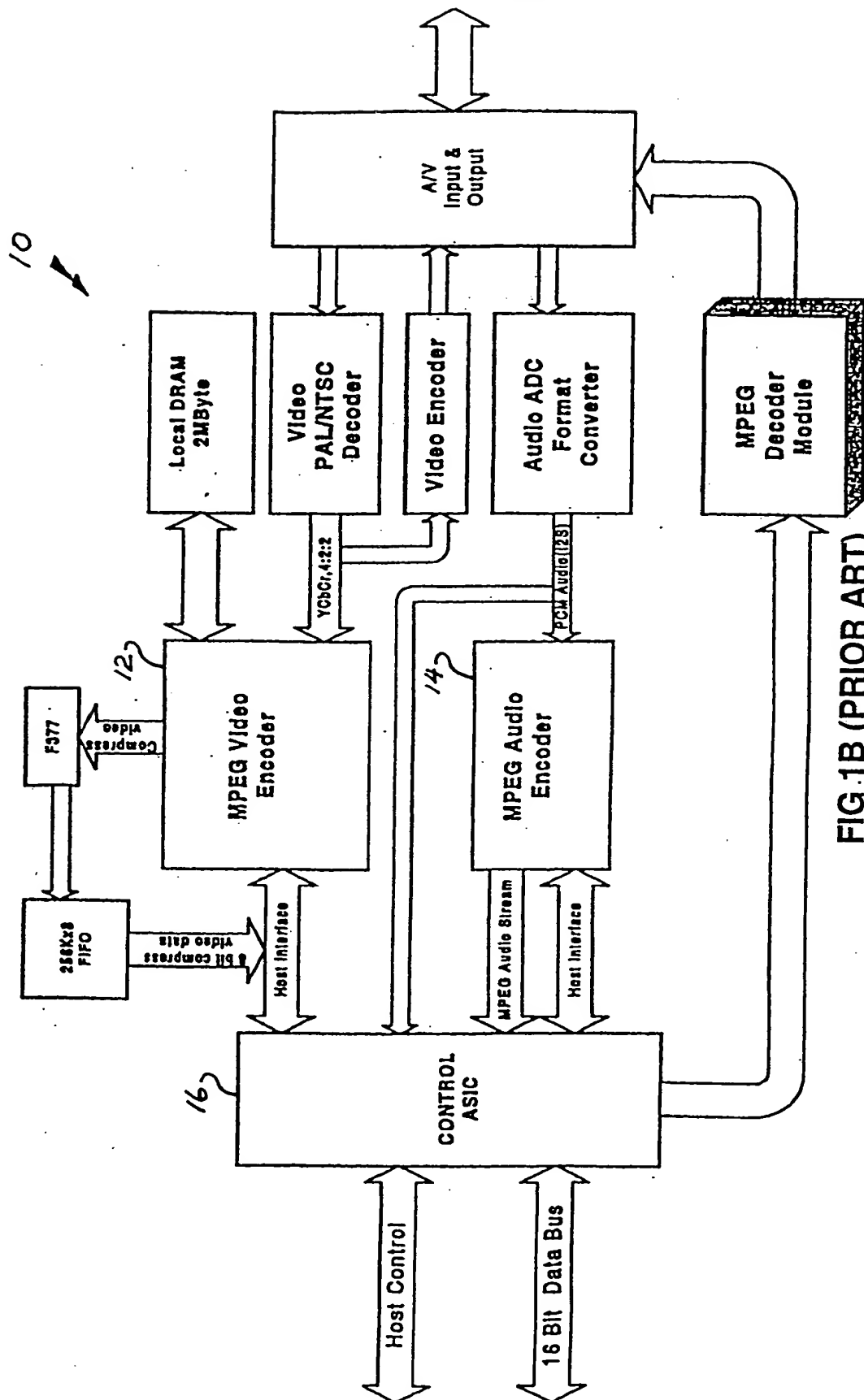


FIG. 1B (PRIOR ART)



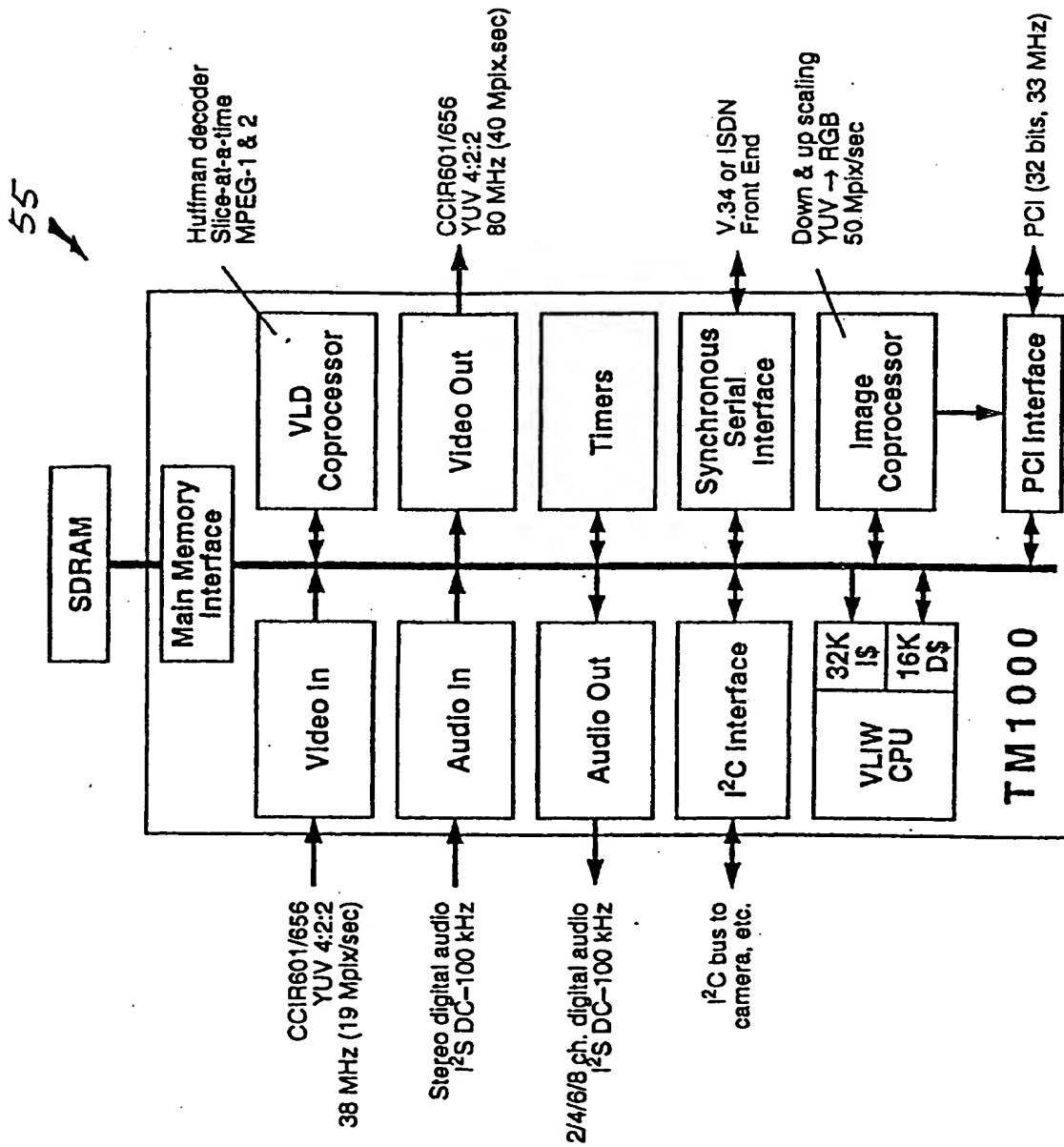


FIG 2 (PRIOR ART)

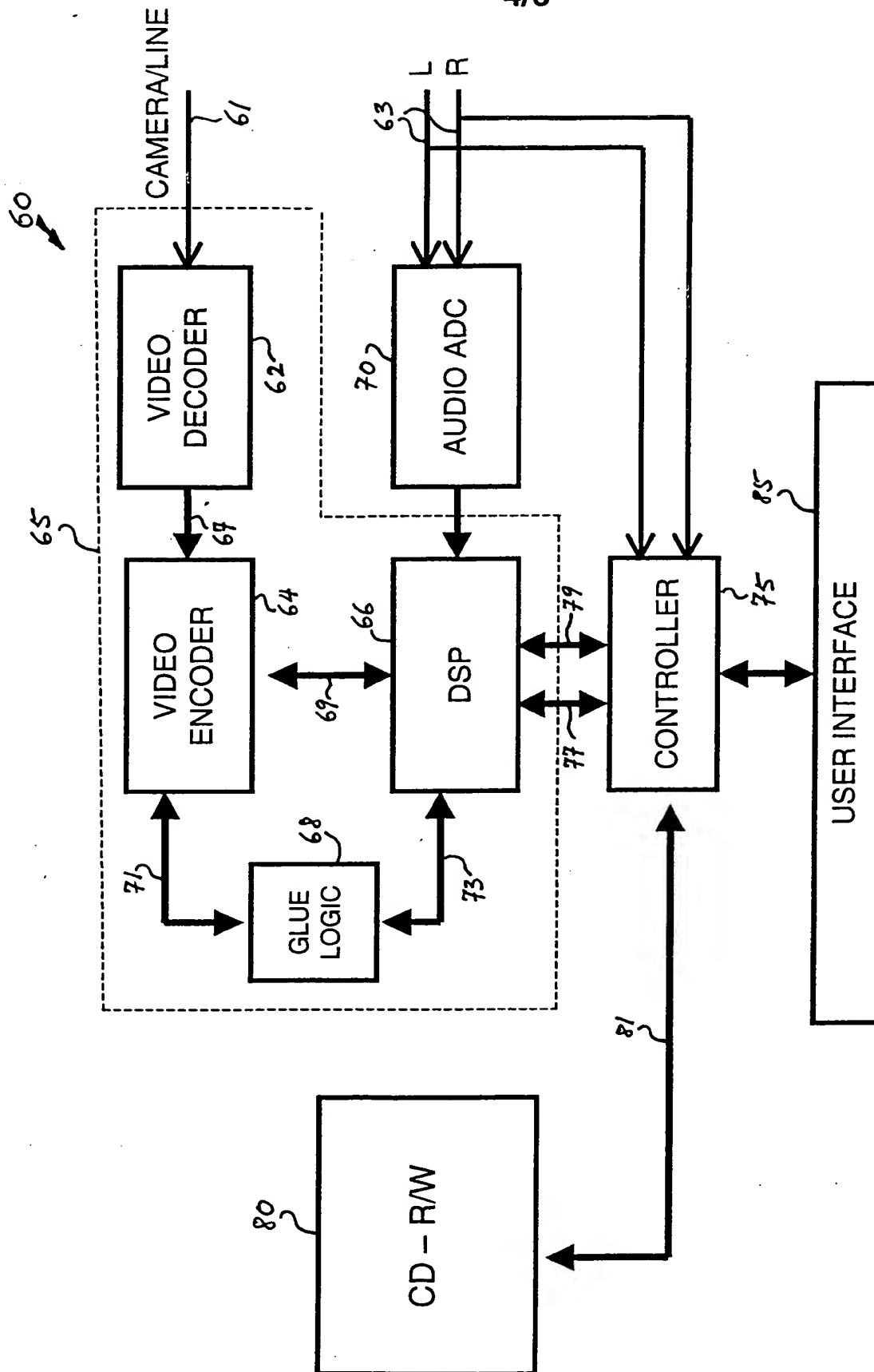


FIG 3

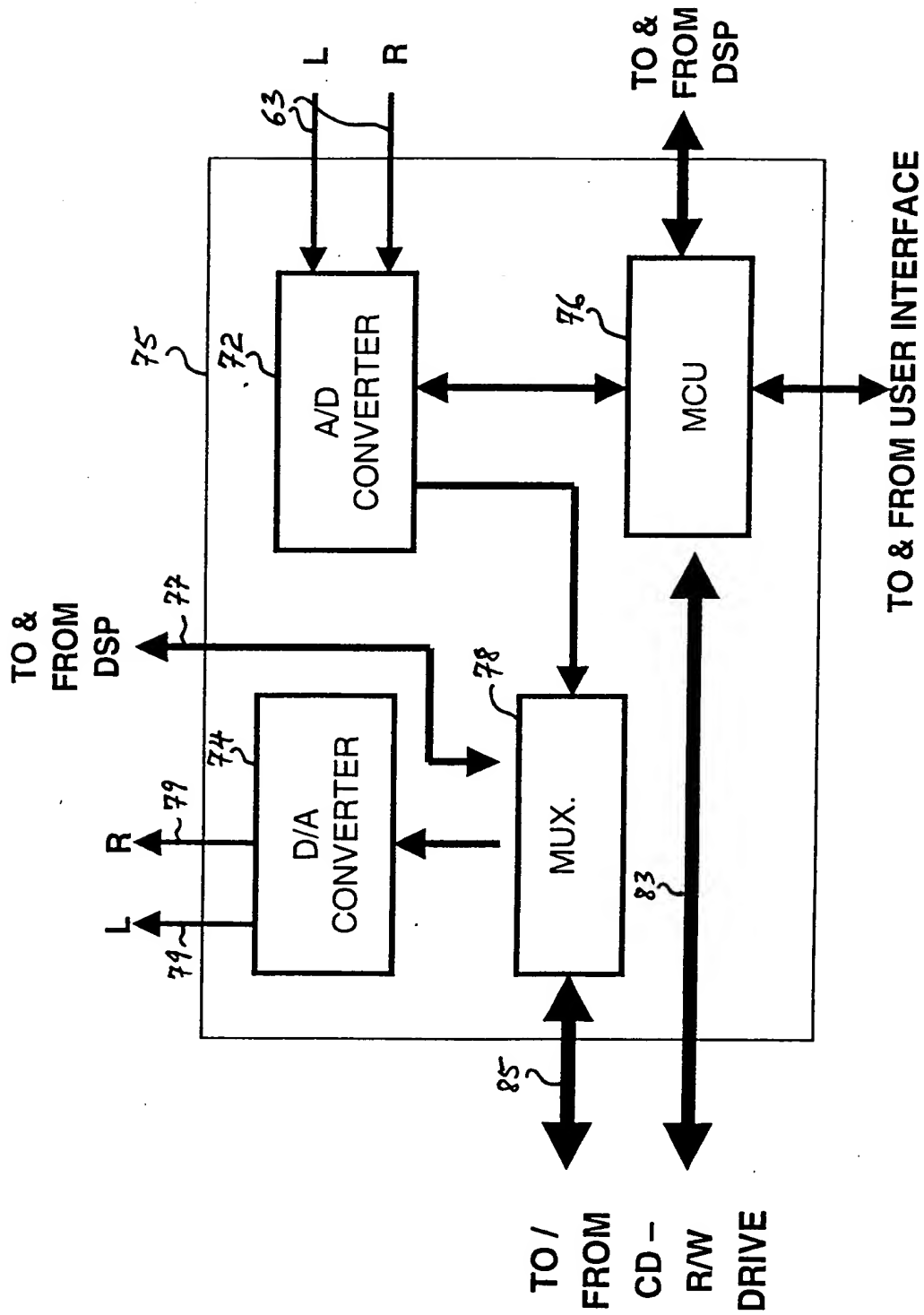


FIG 4

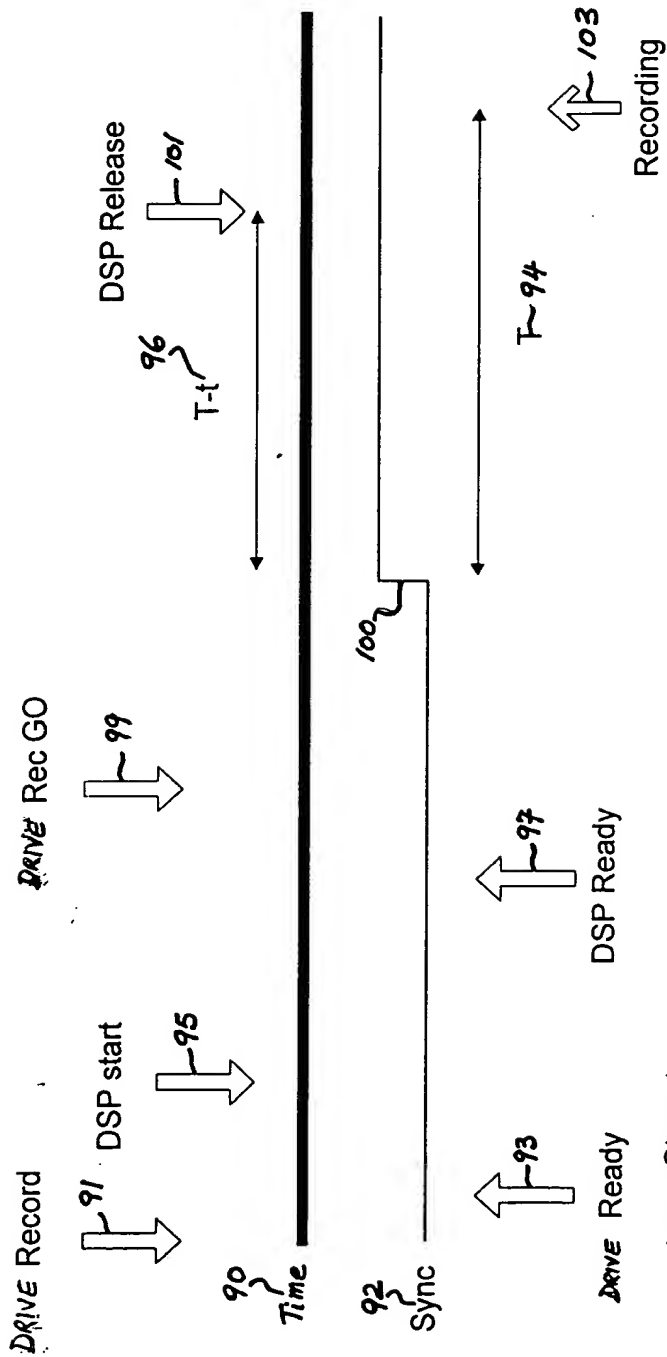


FIG 5A

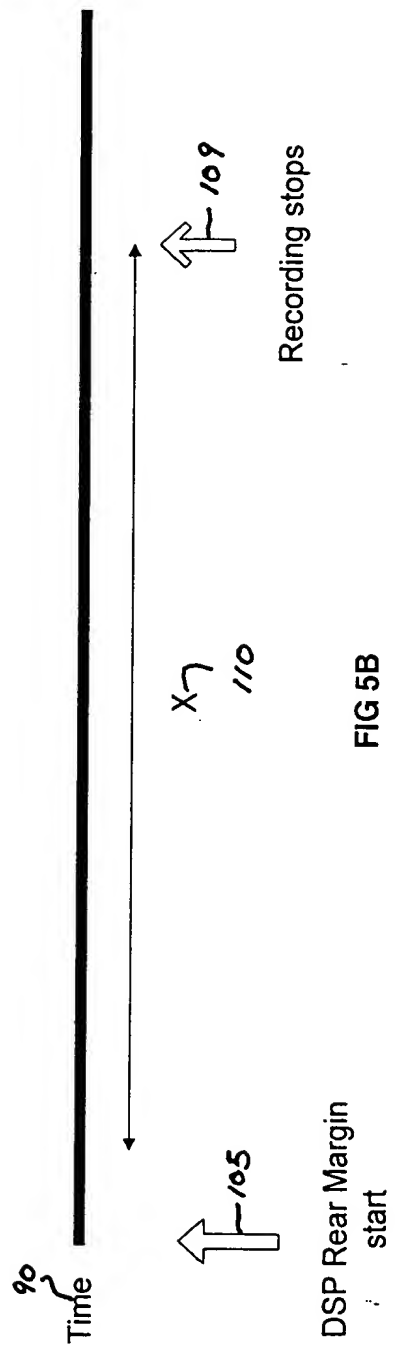


FIG 5B